

10/20/01  
U.S. PTO

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Express Mail No. EL740477217US

Patent  
Attorney Docket: 268/260  
RWJ-00-37

COPY OF PAPERS  
ORIGINALLY FILED

To: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

JC872 U.S. PTO  
10/05/17/69  
10/20/01

**NEW APPLICATION TRANSMITTAL - UTILITY**

Sir:

Transmitted herewith for filing is a **utility** patent application:

**Inventor(s):** McKinnon, R.D.

**Title:** An EST-defined Probe for Cancer Progression

**I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):**

23 Page(s) of Written Description  
2 Page(s) Claims  
1 Page(s) Abstract  
2 Sheets of Drawings  Informal  Formal

**II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:**

- Declaration  
 Power of Attorney  Separate  Combined with Declaration  
 Assignment to \_\_\_\_\_ and assignment cover sheet  
 Certified Copy of Priority Document No(s):  
 Information Disclosure Statement w/PTO 1449  Copy of Citations  
 Preliminary Amendment  
 Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
 Return Postcard  
 Other:

LA-214353.1

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL 740477217 US  
Express Mail Label No.

October 20, 2001  
Date of Deposit

Sandra S. Fujiyama  
Name of Person Mailing Paper

Sandra S. Fujiyama  
Signature of Person Mailing Paper

**III. PRIORITY**

- Priority of application Serial No. 60/242,160 filed on October 20, 2000 in U.S. is claimed under 35 U.S.C. § 119.

**IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:**

- Applicant claims small entity status pursuant to 37 CFR § 1.27

<b>BASIC FILING FEE:</b>					
Total Claims	-	20	=	0	x \$18.00 \$0.00
Independent Claims	-	3	=	0	x \$84.00 \$0.00
Multiple Dependent Claims	\$280	(if applicable)		<input type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>					<b>\$740.00</b>
Reduction by $\frac{1}{2}$ for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28.				<input type="checkbox"/>	\$0.00
Misc. Filing Fees (Recordation of Assignment -- \$40)					\$0.00
<b>TOTAL FEES DUE HEREWITH</b>					<b>\$740.00</b>

**V. METHOD OF PAYMENT OF FEES**

- A check in the amount of \_\_\_\_.  
 Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \_\_\_\_.  
 This application is being filed without fee or Declaration under 37 CFR § 1.53.

**VI. AUTHORIZATION TO CHARGE FEES**

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. **12-2475** for the following:

- 37 CFR § 1.16 – (Filing fees and excess claims fees)  
 37 CFR § 1.17 – (Any application processing fees)  
 37 CFR § 1.21 – (Assignment recording fees)

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## VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

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Please direct all inquiries to Michael J. Wise, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

Dated: October 20, 2001

By: *Sandra S. Fujiyama*

Sandra S. Fujiyama  
Reg. No. 46,713